

托尔马克等离子体输运
动力学理论送题

王少杰

中国科学技术大学

概要

- I. Vlasov 理论
- II. 回旋运动学
- III. 离子温度梯度漂移
- IV. 新经典理论与环状流

I. Vlasov 理论

I.1. 基础

$$\partial_t f + \partial_{\vec{z}} \cdot (\dot{\vec{z}} f) = 0, \quad \text{Vlasov 守恒}$$

$$\text{Maxwell 守恒}, \quad (\rho, \vec{J}) = \sum_s (\rho_s, \vec{J}_s).$$

$$\rho_s = \int d^3v e_s f_s, \quad \vec{J}_s = \int d^3v e_s \vec{v} f_s.$$

非正则 Hamiltonian 力学

$$L dt = [e \vec{A}(\vec{x}, t) + m \vec{v}] \cdot d\vec{x} - H dt + dS.$$

$$H = \frac{1}{2} m v^2 + e \phi(\vec{x}, t)$$

$$\begin{cases} \dot{\vec{x}} = \vec{v}, \\ \dot{\vec{v}} = \frac{e}{m} (\vec{E} + \vec{v} \times \vec{B}). \end{cases}$$

$$\partial_{\vec{z}} \cdot (\dot{\vec{z}}) = 0$$

相空间守恒

$$\partial_t f + \vec{v} \cdot \partial_{\vec{x}} f + \frac{e}{m} (\vec{E} + \vec{v} \times \vec{B}) \cdot \partial_{\vec{v}} f = 0.$$

I.2. 一维静电问题的 Landau 理论

$$\partial_t f + v \partial_x f + \frac{e}{m} E \partial_v f = 0, \quad \text{电子 Vlasov 方程}$$

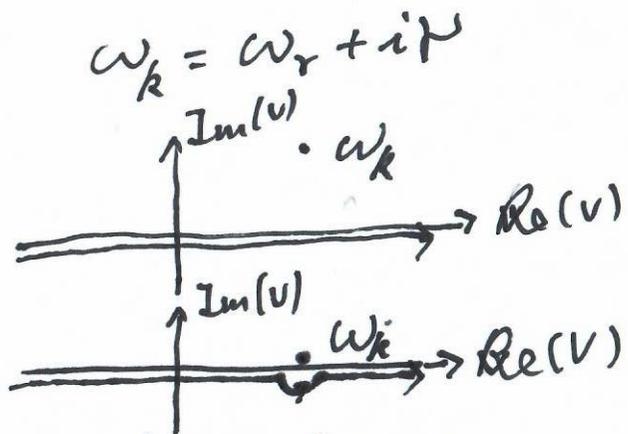
$$\frac{dE}{dx} = \frac{e}{\epsilon_0} n_0 (1 - \int dv f), \quad \text{Poisson 方程}$$

线性化. $f = f_0 + f_1, \quad f_1 = \sum_k f_k e^{i(kx - \omega_k t)}$

$$f_k = \frac{e}{m} \frac{E_k \partial_v f_0}{i(kv - \omega_k)}$$

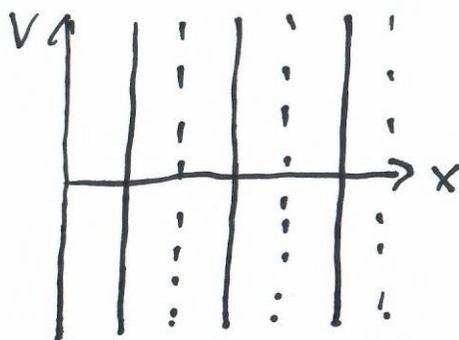
$$\gamma = \frac{\pi}{2} \frac{\omega_{pe}^2}{k^2} \omega_{pe} \partial_v f_0 \Big|_{v = \frac{\omega_r}{k}}$$

色散关系 $1 - \frac{\omega_{pe}^2}{k^2} \int dv \frac{\partial_v f_0}{v - \omega_k/k} = 0.$

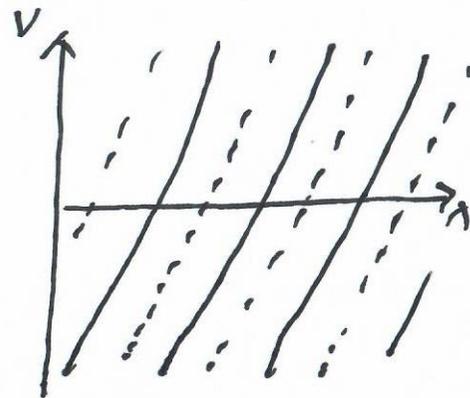


Landau 阻尼过程

Landau PAK in phase mixing (色散)



$f_1(t=0)$



$f_1(t)$

I.3. 一维静电问题的准线性理论

$$\partial_t f_0 + \left\langle \partial_v \left(\frac{e}{m} z f_1 \right) \right\rangle = 0$$

← 系综平均

$$\partial_t f_0 + \partial_v (D_v \partial_v f_0) = 0$$

$$D_v = \sum_k \pi \left(\frac{e}{m} \right)^2 |z_k|^2 e^{2\gamma k} + \delta(kv - \omega_{pe})$$

↑ 准线性扩散系数

{17} A. Hasegawa, Plasma Instabilities and Nonlinear Effects

{2} R. Goldstein, P. Rutherford, Introduction to Plasma Physics.

II. 回旋动力学

II.1 绕心运动的 Hamiltonian 力学

$$r \ll L \sim |1/\alpha|, \quad \Omega \ll \omega_c.$$

\uparrow 回旋半径 \uparrow 回旋频率

$$\vec{x} = \vec{X} + \vec{r}$$

\uparrow 粒子的位置 \nwarrow 绕心位移

$$\mathcal{L} dt = [\vec{A}(\vec{r}) + U_0 \vec{b}] \cdot d\vec{x} - H_0 dt.$$

$$H_0 = \frac{1}{2} v_{||}^2 + \mu_B + \phi(\vec{X})$$

\uparrow 磁矩守恒

$$m = 1 = e$$

$$\vec{B}^* = \nabla \times (\vec{A} + U_0 \vec{b}), \quad B_{||}^* = \vec{b} \cdot \vec{B}^*, \quad \vec{b} = \vec{B}/B$$

$$\{f, g\} = -\frac{1}{B_{||}^*} \vec{b} \cdot (\nabla f \times \nabla g) + \frac{1}{B_{||}^*} \vec{B}^* \cdot \left(\nabla f \frac{\partial g}{\partial u_{||}} - \nabla g \frac{\partial f}{\partial u_{||}} \right)$$

$$+ (\partial_3 f \partial_m g - \partial_m f \partial_3 g)$$

泊松括号.

$$\begin{cases} \dot{\vec{x}} = \{ \vec{x}, H_0 \} = \frac{1}{B_{||}^*} (\vec{b} \times \nabla H_0 + U_0 \vec{B}^*) \\ \dot{v}_{||} = \{ v_{||}, H_0 \} = -\frac{\vec{B}^*}{B_{||}^*} \cdot \nabla H_0 \end{cases}$$

绕心运动方程

$$\frac{1}{B_{||}^*} \partial_{\vec{x}} \cdot (B_{||}^* \dot{\vec{x}}) + \frac{1}{B_{||}^*} \partial_{u_{||}} (B_{||}^* \dot{v}_{||}) = 0.$$

相空间守恒

II.2 回旋动力学

低频 $\omega \ll \Omega$, 有限 Larmor 半径 $k_{\perp} \rho \sim O(1)$.

$$\underbrace{\hat{p}}_{\Gamma_0} \cdot d\vec{x} + \mu d\xi - \left[\frac{1}{2} v_{\parallel}^2 + \mu B + \phi_0(\vec{x}) \right] dt$$

$\Gamma_0 \rightarrow$ 未扰动流松耦合 H_0

$$+ \underbrace{\delta A(\vec{x} + \vec{p}_0, t)}_{\Gamma_1} d(\vec{x} + \vec{p}) - \underbrace{\delta \phi(\vec{x} + \vec{p}_0, t)}_{H_1} dt.$$

Γ_1 与 H_1 依赖于回旋相位角 ξ . 回旋运动与漂移运动耦合.

II.2(a) Lie Transform Hamiltonian 力学

1-form $\hat{p} = \Gamma_0 i dZ^i - H_0 dt$
 $+ \Gamma_1 i dZ^i - H_1 dt$

Hamiltonian 力学 $d\hat{p} = 0$
 \uparrow 升阶 ξ

Lagrangian 2-form

$$\omega = d\Gamma, \quad \omega_{ji} = \partial_j \Gamma_i - \partial_i \Gamma_j$$

(a) 坐标中心 z 至回旋中心 \bar{z} 的 Lie 变换.

$$\begin{cases} \bar{z}^i = z^i + G_1^i(z) + G_2^i(z) + \frac{1}{2} G_1^j \partial_j G_1^i \\ z^i = \bar{z}^i - G_1^i(\bar{z}) - G_2^i(\bar{z}) + \frac{1}{2} G_1^j \partial_j G_1^i \end{cases}$$

(b) 坐标的变换 $\bar{F}(\bar{z}) = F(z) \Rightarrow$

Pull-back $F = \bar{F} + G_1^i \partial_i \bar{F} + G_2^i \partial_i \bar{F} + \frac{1}{2} G_1^i \partial_i (G_1^j \partial_j \bar{F})$

Push-forward $\bar{F} = F - G_1 \cdot dF - G_2 \cdot dF + \frac{1}{2} G_1 \cdot d(G_1 \cdot dF)$

(c) Push-forward of the fundamental 1-form

$$\bar{\Gamma} \equiv \Gamma - H dt$$

Symplectic transform $\bar{\Gamma} = \Gamma_0$ (Poisson 变换)

$$\bar{\Gamma}_{0i} = \Gamma_{0i}, \quad \bar{\Gamma}_{1i} = 0 = \bar{\Gamma}_{2i}$$

$$\begin{cases} \bar{H}_0 = H_0(\bar{z}) \\ \bar{H}_1 = -\partial_A S_1 - \{S_1, H_0\} + \delta\psi_1 \\ \bar{H}_2 = -\partial_A S_2 - \{S_2, H_0\} + \delta\psi_2 \end{cases}$$

$$\delta\psi_1 = H_1 - \{z^i, H_0\} \Gamma_{1i}$$

$$\delta\psi_2 = H_2 - \{z^i, H_0\} (\Gamma_{2i} - \frac{1}{2} G_1^j \omega_{1ji}) \\ - \frac{1}{2} G_1^j [\partial_j (H_1 + \bar{H}_1) + \partial_A \Gamma_{1j}]$$

$$\begin{cases} G_1^i = (\partial_j S_1 + \Gamma_{1j}) J_0^{ji} \end{cases}$$

$$\begin{cases} G_2^i = (\partial_j S_2 + \Gamma_{2j} - \frac{1}{2} G_1^k \omega_{1kj}) J_0^{ji} \end{cases}$$

$$J_0^{ij} = \{z^i, z^j\}$$

辛变换的解.

④ 规范场的解耦

$$\bar{H}_0 = H(\vec{X}, \vec{V}_n, \vec{\mu})$$

$$\bar{H}_1 = \langle \delta\psi_1 \rangle g \in \text{回旋平均}, \quad \bar{H}_2 = \langle \delta\psi_2 \rangle g.$$

$$\delta\psi_n = \langle \delta\psi_n \rangle + \delta\tilde{\psi}_n$$

$$\partial_t S_n + \{S_n, H_0\} = \delta\tilde{\psi}_n, \quad S_n \approx \frac{1}{B_0} \int d\xi \delta\tilde{\psi}_n.$$

$$\begin{cases} G_n^{\vec{X}} = -\frac{\vec{b}_0}{B_{||}^*} \times [\delta\vec{A}_n + \nabla S_n] - \frac{\vec{B}^*}{B_{||}^*} \frac{\partial S_n}{\partial v_{||}} \\ G_n^{v_{||}} = \frac{\vec{B}^*}{B_{||}^*} \cdot \delta\vec{A}_n + \frac{\vec{B}^*}{B_{||}^*} \cdot \nabla S_n \end{cases}$$

$$\begin{cases} G_n^{\xi} = \delta\vec{A}_n \cdot \partial_\mu \vec{\rho}_0 - \partial_\mu S_n \\ G_n^{\mu} = \delta\vec{A}_n \cdot \partial_\xi \vec{\rho}_0 + \partial_\xi S_n \end{cases}$$

$$G_n^{\mu} = G_n^{\vec{X}} + (G_n^{\xi} \partial_\xi + G_n^{\mu} \partial_\mu) \vec{\rho}_0 - \{\vec{X} + \vec{\rho}, S_n\}.$$

$$\delta\psi_n = \delta\psi_n - (\dot{\vec{X}} + \dot{\vec{\rho}})_0 \cdot \delta\vec{A}_n$$

$$\{\delta\vec{A}_1, \delta\psi_1\} = \{\delta\vec{A}, \delta\psi\},$$

$$\{\delta\vec{A}_2, \delta\psi_2\} = \vec{z} \{q_1 \vec{v} \times \delta\vec{B}, q_1 \vec{v} \cdot \delta\vec{E} - q_1 \partial_j H_1\}$$

2.2 (b) 由旋涡流的 Vlasov 方程

$$\partial_t \bar{F} + \{\bar{F}, \bar{H}\} = 0$$

$$\dot{\bar{z}} = \{\bar{z}, \bar{H}\}, \quad \partial_{\bar{z}} \cdot (\dot{\bar{z}}) = 0, \quad \text{相空间的守恒}$$

静电扰动情况. $H_1 = \delta\phi(\bar{F} + \bar{f}_0, t)$

长波近似 $\delta\phi = \bar{E}_0 \cdot \nabla \delta\phi, \quad \bar{S}_1 = \frac{1}{B_0} \int d\psi \delta\psi$

$$G_1^u = \partial_{\bar{z}} S_1 = \frac{1}{B_0} \bar{S} \cdot \nabla \delta\phi(\bar{X}, \bar{V}_1, \bar{H}, \bar{z})$$

II. 2 (c) 回旋力学的 Poisson 方程

粒子密度 $n_{\text{part.}}(\vec{r}) = \int d^3v f(\vec{r}, \vec{v})$ ↓ 粒子分布

$$= \int d^3v d^3\vec{x} \delta^3(\vec{r} - \vec{x}) f(\vec{x}, \vec{v})$$

$$= \int B_0^* d^3\vec{x} du_d du_\parallel d\xi \delta^3(\vec{x} + \vec{\rho}_0 - \vec{r}) F(\vec{x}, v_\parallel, u)$$

极化密度 $n_{\text{pol.}}(\vec{r}) = \int B_0^* d^3\vec{x} du_d du_\parallel d\xi \delta^3(\vec{x} + \vec{\rho}_0 - \vec{r})$ ↑ go step
Pull-back of \bar{F}
↓ $g_{11}^{-1} \partial_{x_\parallel}^2$

$$n_{\text{pol.}}(\vec{r}) = \int d^3\vec{x} \frac{1}{B_0} \vec{\rho}_0 \cdot \nabla \delta\phi(\vec{r} - \vec{\rho}_0) \left(-\frac{B_0}{T}\right) F_0$$

↑ Maxwellian

$$= \int d^3\vec{x} \frac{F_0}{T} \vec{\rho}_0 \cdot \vec{\rho}_0 = \nabla \cdot \delta\phi$$

← 温度

$$= \nabla \cdot \int d^3v \frac{F_0}{T} \vec{v} \vec{v} \cdot \nabla \delta\phi$$

$$= \nabla \cdot \frac{n_0 m}{e B^2} \nabla_\perp \delta\phi$$

$$n_{\text{part.}}(\vec{r}) = n_{\text{pol.}} + n_{\text{gc}}, \quad n_{\text{gc}} = \int d^3v F$$

- [1] J. Cary, A. Brizard, RMP 09 } 参考
- [2] R. Littlejohn, J. Plasma Phys. 1983, PF81
- [3] P. Catto, W. Tang, D. Baldwin, Plasma Phys. 81 } 参考
- [4] T. Antonsen, B. Lane, PF 80
- [5] R. Hazeltine, J. Meiss, Plasma Confinement } 参考
- [6] E. Frieman, L. Chen, PF 82
- [7] T. S. Hahn, PF 88 } 现代
- [8] A. Brizard, J. Plasma Phys. 89 } 参考
- [9] W. W. Lee, PF 83 } 参考
- [10] J. R. Cary, Phys. Rep. 79 } 参考
- [11] R. G. Littlejohn, J. Math. Phys. 82 } 参考

IV. 离子层流特快模 (ITG)

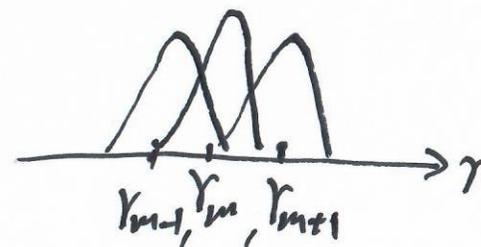
等离子体近似 $k_{\parallel} \ll k_{\perp}$, $k_{\perp} \rho \sim O(1)$

对于 n 粒子的模, 平行 Landau 阻尼应尽可能小, 因此新

模 (n, m) \leftarrow 极角模数
 \uparrow 径向模数

r_m $[\rho(r_m) = m/n]$ 附近

新模可在此区域在其有阻尼



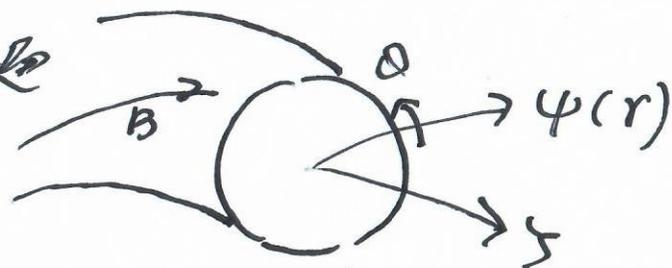
IV.1 气球模变换

托卡马克几何

$$\vec{B} = I(\psi) \nabla \psi + \nabla \psi \times \nabla \psi \quad \leftarrow \text{极角坐标}$$

$$d\psi_{\perp}/d\psi = f(\psi) \quad \text{安全因子}$$

$$d\psi/d\theta = g(\psi)$$



$$\delta\phi_n = \underbrace{F(\varphi, 0)}_{\text{缓变}} e^{in(\varphi - \int \omega dt)}$$

相位论研究波线运动
 重于研究波线快变, $n \gg 1$.

此一书考表示的缺点: 研究即 $\partial\phi/\partial x \neq 0$ 时.

$$\delta\phi_n(0) = \delta\phi(0+2\pi) \text{ 与 } F(\varphi, 0) \text{ 缓变矛盾!}$$

用 Fourier 分解 $\propto e^{in\varphi}$ 后, 通常又对波线传播问题

$$\mathcal{L}(\partial, \varphi) \delta\phi_n(\partial, \varphi) = \lambda \delta\phi_n(\partial, \varphi).$$

应用关于 0 的周期性条件!

$$(a) \delta\phi(\partial, \varphi) = \sum_m a_m(\varphi) e^{-im\partial}, \quad a_m(\varphi) = \frac{1}{2\pi} \int_0^{2\pi} \phi(\partial, \varphi) e^{im\partial} \delta\phi(\partial, \varphi) \quad \leftarrow \text{验证}$$

$$(b) \text{ 令 } a(x, \varphi) = \frac{1}{\pi} \sum_m a_m \frac{\sin\{(m-x)\pi\}}{(m-x)}, \quad x \text{ 为实数!}$$

$$a(m, \varphi) = a_m(\varphi).$$

$$(c) a(x, \varphi) = \int_{-\infty}^{+\infty} d\eta e^{-ix\eta} \hat{\delta\phi}(\eta, \varphi) \quad \leftarrow \text{可化简!}$$

$\hat{\delta\phi}(\eta, \varphi) \rightarrow 0$, 当 $|\eta| \rightarrow \infty$ 时.

证明 $L(\eta, \psi) \delta \hat{\phi}(\eta, \psi) = \lambda \delta \hat{\phi}(\eta, \psi)$

在 $\eta \in (-\infty, \infty)$ 且在本地解的邻域内同时成立

$\delta \psi(0, \psi)$, 且取任意值均可!

全局傅里叶变换

$$\delta \psi(0, \psi) = e^{-i\omega t} \sum_m e^{i(\eta \zeta - m\theta)} \int_{-\infty}^{+\infty} d\chi \underbrace{\delta \hat{\phi}(\chi, \psi)}_{\text{任意}} e^{i(2m-2l)\chi}$$

[1] J. W. Connor, R. J. Hastie, J. N. Taylor, PRL 78
Proc. R. Soc. Lond. A. 365, 1-17 (1979)

[2] L. Chen's Lecture Note

假设 $k_{\perp} v_{thi} \ll \omega$, 微扰法求解 $\delta\psi$, $\hat{T} \rightarrow$ 在 \hat{T} 中

$$(1 + \frac{1}{2}) \delta\hat{\psi} - \int d^3v f_m J_0 \left[1 - \left(\frac{v_{\perp}}{v_{thi}} \frac{1}{\omega - \omega_d} \right)^2 \right] \frac{\omega - \omega_{*i}}{\omega - \omega_d} J_0 \delta\hat{\psi} = 0$$

$$a = T_e / T_i$$

III. 2(a) 低频近似

保留 ω_d / ω , $k^2 \rho^2$, $k_{\perp} v_{thi} / \omega$ 项

$$\frac{1}{2} \frac{v_{thi}^2}{\omega^2} \frac{1}{qR} \partial_x \frac{1}{qR} \partial_x \delta\hat{\psi} + \left[\left(\frac{1}{2} + \frac{\omega_{*i}}{\omega} \right) \frac{1}{A} + b_i - \frac{\omega_d}{\omega} \right] \delta\hat{\psi} = 0$$

(1)

(2)

(3)

$$A = 1 - (1 + \eta_i) \omega_{*i} / \omega, \quad b_i = \frac{1}{2} k^2 v_{thi}^2 / \omega_i^2$$

$$(1) \sim \frac{k_{\perp}^2 C_s^2}{\omega^2} \frac{\omega_{*i}}{\omega} \eta_i, \quad (2) \sim O(1), \quad (3) \sim \frac{\omega_d \omega_{*i}}{\omega^2} \eta_i.$$

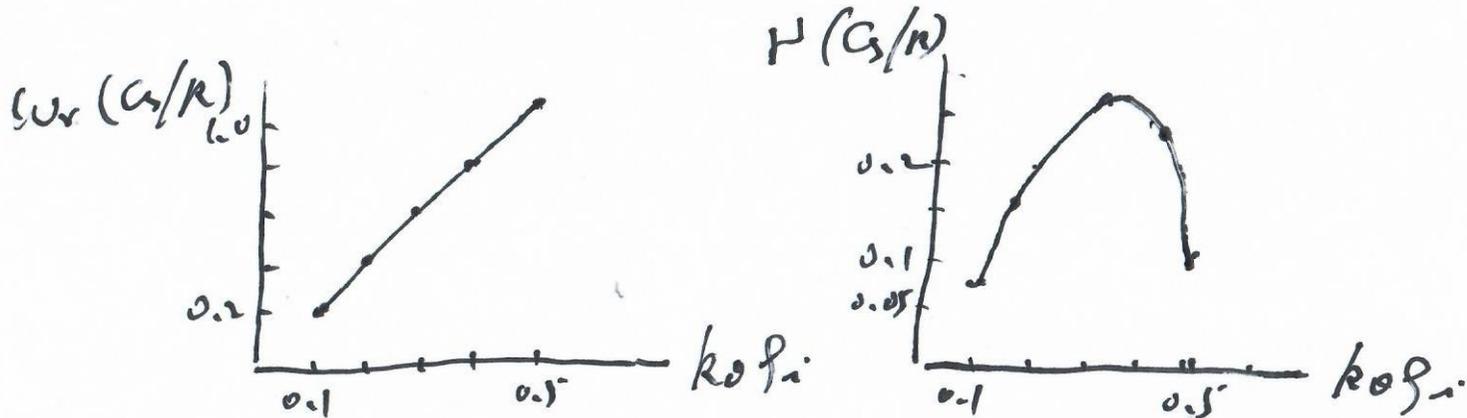
$$(1) (1) \sim O(2) \sim (3) \Rightarrow \omega = -a \omega_{*i} \quad \text{electron drift mode}$$

$$(2) (1) \sim O(1) \sim (3)$$

(2.1) ①, ② balance $\Rightarrow \omega^3 \sim k_{\perp}^2 C_s^2 \omega_* \eta_i$
 环向耦合项 $\sim \omega^2$, slab ITG

(2.2) ①, ③ balance $\Rightarrow \omega^2 \sim \omega_p \omega_* \eta_i$
 环向耦合项 $\sim \omega$, toroidal ITG

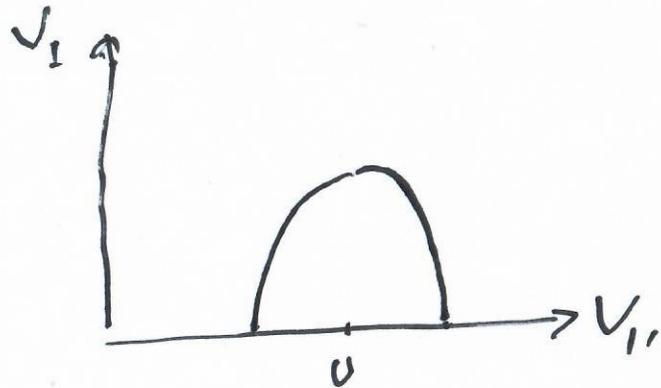
IV. 2 (b) 环向耦合项的模拟 (GENE, NLT)



[1] F. Romanelli, PFB 89, 90

[2] L. Ye, et al. JCP 16

IV. 2(c) 相空间共振结构 (NLT)



$$\omega_d = \omega_r$$

$$\omega_d = k_0 V_d, \quad V_d = \frac{m v_a^2 + \mu B}{R e B}$$

[3] S. Sun, et al., NLT 22, 23

IV. 新经典板化与带状流

IV.1 Wave Pinch

捕获粒子受环向电场 E_y 作用, 但其在环向运动不自由.
故环向动量守恒为零

$$\Gamma_{\text{wave}}^r \sim \sqrt{\epsilon} n \frac{e E_y}{e B_p}, \quad \epsilon = r/R.$$

IV.2 环向动量守恒或环向 Reynolds stress 导致 'stream' 效应
类似于捕获粒子之效

$$F_{y,m} = m \partial_x u \frac{m v_{ii}^2}{T} \sim m (\partial_x u) \epsilon$$

↑
流场平行板效

相应地 (根据 Wave Pinch 理论) 导致 Pinch / ϵ_m

$$\Gamma_m^r \sim -\epsilon^{3/2} n \frac{m (\partial_x u)}{e B_p} \sim \frac{\delta}{\sqrt{\epsilon}} \frac{nm}{e B_p^2} B_p \frac{l}{nm} \partial_x \Pi_y^r$$

环向 Reynolds stress

IV.3 加热或冷却的能量流诱导的电流

在平衡态下，加热或冷却诱导的平行电流

$$M_{\parallel} \sim -\zeta^{3/2} \frac{m \partial r P}{e B_p} \leftarrow \text{加热} \quad (\text{J. Wesson, Tokamaks})$$

加热或冷却引起 $\partial r P$ ，从而诱导平行电流

$$F_{\parallel} = -\partial_{\parallel} M_{\parallel}$$

按 Ware Pinch 理论有 $\partial_{\parallel} \psi \sim \partial_{\parallel} P$

$$\Gamma_{\parallel}^r \sim -\zeta^{3/2} \frac{m \partial r \partial_{\parallel} P}{e^2 B_p^2} \sim \frac{\delta^2}{\sqrt{\zeta}} \frac{nm}{e B_T^2} \frac{1}{ne} \partial_{\parallel} \psi^r$$

↑
加热/冷却

IV.4 平行方向的电流 ($\partial_{\parallel} \phi(r)$) 诱导的平行电流

$\partial_{\parallel} \phi(r)$ 在平衡态诱导

$$\partial_{\parallel} P \sim ne \partial_{\parallel} \phi(r)$$

按加粗诱导的半经典得到经典跃迁几率

$$\Gamma_{\bar{E}}^r \sim \xi^{3/2} \frac{m \partial_A \bar{E}}{e^2 B_p^2} n e \sim \frac{\xi^2}{\sqrt{\xi}} \frac{n m}{e B_p^2} \partial_A \bar{E}$$

Rosenbluth-Hintun 由微扰论量子理论得到

$$\epsilon_r = \underbrace{1.6 \xi^2 / \sqrt{\xi}}_{\text{新经典}} + \underbrace{1}_{\text{经典}}$$

IV.5. ZF 在准平面波

$$\Gamma_{\bar{E}}^r + \Gamma_m^r + \Gamma_H^r = 0 \quad \Rightarrow$$

$$n e i \partial_A \bar{E} - \underbrace{\partial_A \partial_r P_{\perp}}_{\text{或能量守恒}} - n e i \underbrace{\partial_A u_y B_p}_{\text{或动量守恒}} = 0$$

